# Received by NSD/FARA Registration Unit 03/07/2013 3:56:13 PM OMB NO. 1124-0001; Expires February 28, 2014

U.S. Department of Justice

Washington, DC 20530

### **Registration Statement**

Pursuant to the Foreign Agents Registration Act of 1938, as amended

1750;	as amended
IREGISTI	RANT
1. Name of Registrant	
42West, LLC	
2. Registration No.	(15)
	QIO
3. Principal Business Address	
220 West 42nd Street	
12th Floor New York, NY 10036	u.
4. If the registrant is an individual, furnish the following information:	
(a) Residence address(es)	
	• .
(b) Other business address(es), if any	
(c) Nationality	
(d) Year of birth	
(e) Present citizenship	
	d how against
(f) If present citizenship not acquired by birth, state when, where an	id now acquired
(g) Occupation	
5. If the registrant is not an individual, furnish the following information	
(a) Type of organization: Committee   Association	Partnership 🗵 Voluntary group 🗆
Corporation	Other (specify)
(b) Date and place of organization March 11, 2005 - Wilmington, D	Delaware
(c) Address of principal office	
220 West 42nd Street, 12th Floor New York, NY 10036	
(d) Name of person in charge Allan Mayer	
(e) Locations of branch or local offices Los Angeles, CA	
(f) If a membership organization, give number of members	
(1) It a memoriship organization, give number of memoris	

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(g) List all partners, officers, directors or persons performing the functions of an officer or director of the registrant.

Name	Residence Address(es)	Position	Nationality
Allan Mayer	22800 Beckledge Terrace Malibu, CA 90265	Principal Partner	USA
Leslee Dart	31 Old Briarcliff Road Briarcliff Manor, NY 10510	Principal Partner	USA
Amanda Lundberg	147 Burkewood Road Mount Vernon, NY 10552	Principal Partner	USA

- (h) Which of the above named persons renders service directly in furtherance of the interests of any of the foreign principals?

  Allan Mayer
- (i) Describe the nature of the registrant's regular business or activity.

**Public relations** 

(j) Give a complete statement of the ownership and control of the registrant.

Owned and controlled by the three Principal Partners listed in #5(g) above.

<sup>6.</sup> List all employees who render services to the registrant directly in furtherance of the interests of any of the foreign principals in other than a clerical, secretarial, or in a related or similar capacity.

Name	Residence Address(es)	Nature of Services
Sarah Rothman	65 W. 95th Street, Apt. 7AB New York, NY 10025	Public relations
Anna Miller Bailer	95 Webster Avenue, Apt 2F Jersey City, NJ 07307	Public relations
Chris de Haan	7462 W. 80th Street Westchester CA 90045-6085	Public relations

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	IIFOREIGN PRI	NCIPAL			
7. List every foreign principal <sup>1</sup> for w	hom the registrant is acting or has agr	reed to act.			
Foreign Principal	Principal Addres	rincipal Address(es)			
Serial Enterprises Limited		12/Floor, No. 3 Lockhart Road Wanchai, Hong Kong			
	IIIACTIVIT	IES	· · · · · · · · · · · · · · · · · · ·		
	bed in any Exhibit B to this statement ny or all of your foreign principals?	, will you engage or are you en Yes ☐ No [			
If yes, describe fully.					
		•			
			•		
•	•				
	IV-FINANCIAL INFO	ORMATION			
did you receive from any foreign	days prior to the date of your obligation principal named in Item 7 any contril Yes □ No ⊠	n to register <sup>2</sup> to the time of fil	ing this statement, er as compensation or for		
If yes, set forth below in the requ	nired detail and separately for each such	ch foreign principal an accoun	t of such monies. <sup>3</sup>		
		•			
Foreign Principal	Date Received	Purpose	Amount		

Total

<sup>1</sup> The term "foreign principal" includes a foreign government, foreign political party, foreign organization, foreign individual and, for the purpose of registration, an organization or an individual any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual.

<sup>2</sup> An agent must register within ten days of becoming an agent, and before acting as such.

<sup>3</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. There is no printed form for this exhibit. (See Rule 201(e)).

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(b) REC				iantian ta maaista			is statement did view
receive f	he period beginning 60 from any foreign principment, or otherwise?		m 7 anything of v	alue <sup>5</sup> other than r	money, eith	er as compe	
	rnish the following info						
Foreign 1	_	Date Re	ceived	Thing of V	/alue		Purpose
1 Oleigh	Timeipai	Date Re	corvoa	Timig of v	aruc		Turpose
					,		
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(a) DISE	SURSEMENT-MONII	T <b>S</b>					
During th	he period beginning 60 disburse any money in Yes	days prior to th	or in connection w				
	t forth below in the req each foreign principal.		separately for each	ch such foreign p	rincipal nan	ned includin	g monies transmitted
Date		To W	hom	Purpos	se	•	Amount
				•			
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During the dispose of principal	ne period beginning 60 of any thing of value <sup>8</sup> ot	days prior to the ther than money Yes	e date of your obli				
During the dispose of principal	ne period beginning 60 of any thing of value <sup>8</sup> of named in Item 7?	days prior to the ther than money Yes ☐ mation:	e date of your obli  in furtherance of	or in connection			
During the dispose of principal If yes, further the principal in the princ	ne period beginning 60 of any thing of value <sup>8</sup> of named in Item 7?	days prior to the ther than money Yes ☐ mation:	e date of your obli	or in connection	with your a		behalf of any foreign
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<sup>4, 6, 7</sup> and 9 See Footnote 2, on page 3.
5 and 8 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks", and the like.

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	VINFORMAT	ΓΙΟΝΑL MATERIALS <sup>10</sup>	
11. Will the activities of the regimaterials? Yes		n principal include the preparation	or dissemination of informational
IF YES, RESPOND TO TH	E REMAINING ITEMS IN T	THIS SECTION V.	
12. Identify each such foreign pr	incipal.		
Serial Enterprises Limited			
informational materials?	Yes ⊠	allocated to finance your activities  No   nt and for what period of time.	in preparing or disseminating
		LC \$65,000.00 (plus a maximum of mpetition in the U.S. between nov	\$25,000 in performance bonuses) v and June 1, 2013.
14. Will any public relations firm  Yes ⊠ No □  If yes, furnish the names and  42West, LLC is a public rel	addresses of such persons or		tion of such informational materials
42 West, LLC is a public rei	ations iiiii.		
15. Activities in preparing or dis	seminating informational mat	terials will include the use of the fo	llowing:
<ul><li>☑ Radio or TV broadcasts</li><li>☐ Advertising campaigns</li><li>☐ Other (specify)</li></ul>	<ul><li>☑ Magazine or newspaper</li><li>☑ Press releases</li></ul>	☐ Pamphlets or other publication	☐ Letters or telegrams  Ins ☐ Lectures or speeches
☐ Social media website UKL(s)	); ;		
16. Informational materials will □ □ Public officials □ Legislators □ Government agenci ☑ Newspapers ☑ Editors	es	<ul> <li>☐ Civic groups or associations</li> <li>☐ Libraries</li> <li>☐ Educational groups</li> <li>☐ Nationality groups</li> <li>☐ Other (specify)</li> </ul>	
17. Indicate language to be used  English	in the informational materials	s:  Other (specify)	· · · · · · · · · · · · · · · · · · ·

<sup>10</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

#### VI--EXHIBITS AND ATTACHMENTS

18. (a) The following described exhibits shall be filed in triplicate with an initial registration statement:

(Date of signature)

- Exhibit A- This exhibit, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal named in Item 7.
- Exhibit B- This exhibit, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.
- (b) An Exhibit C shall be filed when applicable. This exhibit, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530. (See Rule 201(c) and (d)).
- (c) An Exhibit D shall be filed when applicable. This exhibit, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal. (See Rule 201 (e)).

#### VII--EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Print or type name under each signature or provide electronic signature<sup>11</sup>)

March 07, 2013	/s/ Allan Mayer	eSigne	
<i>:</i>			
		<del></del>	
	<del>.</del>		

<sup>11</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.